

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Serial No: 09/941,237  
Filing Date: August 28, 2001  
Art Unit: 3732  
Applicant: Chapoulaud et al.  
Title: CUSTOM ORTHODONTIC APPLIANCE FORMING  
METHOD AND APPARATUS  
Atty Docket: ORM-166CI

Cincinnati, Ohio

VIA HAND DELIVERY

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

**INFORMATION DISCLOSURE STATEMENT**

In accordance with the duty of candor and good faith imposed by 37 CFR §1.56 and means of complying therewith according to 37 CFR §§1.97 and 1.98, the references listed on the attached PTO-1449 are called to the attention of the United States Patent and Trademark Office in connection with the above-identified patent application. Copies of the cited references are enclosed herewith. No admission is made that the cited art represents the prior art or that the cited art is the most material art.

The Examiner is urged to consider the cited references and to make an independent decision with respect to their materiality.

Respectfully submitted,

WOOD, HERRON & EVANS, L.L.P.

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